

Eroom Securities
Disclosure of SEC Required Order Routing Information

SEC Rule 606 requires broker-dealers to make publicly available information about the routing of certain orders in national market securities (NASDAQ and listed stocks and listed options). The reports represent an overview of order routing practices. The SEC requires information only about certain orders so the reports do not include every order entered by a customer. In some instances customers may direct that orders be routed to a particular execution venue. These orders are not included in the reports.

Eroom Securities' customers primarily use the execution systems provided by the clearing firm. Thus, each customer may review the report provided by its respective clearing firm for information regarding the order routing of non-directed orders. Eroom Securities relies on the clearing firm's report and it has not reviewed or audited the information.

Eroom Securities accepts payment for order flow from exchanges, other market centers and broker-dealers transacting in the market centers.

If you have any questions regarding Eroom Securities' order routing or its payment for order flow arrangements, please contact us at info@eromsecurities.com